

## 2021 NAAIM Active Investing Strategy Competition

### [LINK to APPLICATION](#)

Each year, the National Association of Active Investment Managers (NAAIM) hosts competitions to seek out the best actively managed investment strategies. The competition was launched in 2013 to provide an opportunity for managers to showcase their active investment strategies, models, and signals and to provide membership with opportunities to develop relationships with other active managers.

### 2021 Competition Categories

NAAIM's Active Investing Strategy competitions are category specific. 2021 categories include:

- Stock selection
- Tactical allocation
- Asset allocation
- Fixed income
- Alternatives

### Competition Dates: June 23 & 24, 2021

The next NAAIM Active Investing Strategy Competitions will be held on Wednesday, June 23rd and Thursday June 24th. The 2021 competitions will be held virtually with a live audience.

### Competition Structure:

There are two rounds of competitions: Preliminary and Final. Each competitor will be given 9 minutes to present their strategy. In the preliminary round, a question-and-answer period with NAAIM committee members will follow the presentation. In the final round, the Q-and-A session will include judges and audience. The winner of each strategy competition will be chosen by the NAAIM Active Investing Strategy Competition Committee.

### Grand Prize Package:

**Live Distribution and Promotion on TAMP Offering**  
**Promotion to NAAIM Membership**  
**Live Meetings with Manager-of-Managers Firms**  
**\$1,000 Cash Prize**

The grand prize winner will receive access to TWO fund platforms, Global View Capital Advisors and Tactical Fund Advisors, which have collectively placed more than \$225 million with NAAIM Active Investing Strategy Winners.

Global View Capital Management's tamp offering focuses on active investment managers, and pending due diligence approval, the winning strategy will be promoted to Global View's advisor base and the manager will receive an invitation to the firm's annual conference.

Tactical Fund Advisors provides multi-manager and single manager tactical funds that utilize adaptive optimization methods. Pending due diligence the winning firm will be included as a sub-advisor on Tactical Fund Advisor's platform.

## Category Competition Prizes:

The winners of each category competition will receive:

**Promotion to NAAIM Membership**  
**Live Meetings with Manager-of-Managers Firms**  
**\$500 Cash Prize**

**Who May Participate:** The NAAIM Active Investing Strategy competitions are open to all trading and investing practitioners who have developed strategies with **live, verifiable, real money track records**. Note that NAAIM Membership is required to participate in the live NAAIM Shark Tank Finals.

**How to Apply:** Managers wishing to participate in the upcoming event are requested to submit an application to the committee by 9pm ET on Friday, April 30, 2021.

### [LINK to APPLICATION](#)

**Finalists will be announced by May 15, 2021.**

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### **Past Winners on Their Shark Tank Experience:**

#### **1<sup>st</sup> Place Winner – 2018 | David Moenning, Chief Investment Officer, Heritage Capital Research**

“I can’t say enough about the NAAIM Shark Tank Strategy Competition or the NAAIM community in general. Having recently left a CIO position at a large RIA firm, I was looking for an opportunity to showcase my new consulting service. The strategy I presented to the Shark Tank was very well received and within 6 months of the competition, I had acquired 8 new business relationships. I am pleased to report that my services are now promoted on 4 multi-manager platforms and I have a multitude of advisors to work with. I would encourage anyone looking to promote their business to participate in the NAAIM Shark Tank Strategy Competition.”

#### **1<sup>st</sup> place winner – 2017 | Rich Paul, President, Potomac Advisors**

“I entered the Shark Tank for the 2015 competition. I had sold my prior company years earlier but had a non-compete until 2014 when I sold my remaining shares and decided to take my 12-year EVO trading system and track record to the Shark Tank challenge. After placing third, my assets began to grow substantially, even before winning the competition last year. Today our assets primarily through licensing, using just the EVO strategy, have grown to \$370m. I have to credit my participation in the Shark Tank for the exposure in getting to this level.”

#### **1<sup>st</sup> place winner – 2016 | David Bush, Managing Member, ALPHATATIVE LLC**

“Winning NAAIM’s Shark Tank strategy competition has been invaluable on many levels. As a manager previously immersed solely in the hedge fund world, joining NAAIM opened several new avenues of opportunity I simply hadn’t been aware of before. Additionally, the community of fellow tactically-oriented managers willing to share ideas and resources was a truly welcome surprise. Without question, the Shark Tank victory has helped my business grow, and I believe that my continued association with NAAIM will only help further the future growth of my business.”

#### **About NAAIM:**

The National Association of Active Investment Managers (NAAIM) is a non-profit trade group of nearly 120 registered investment advisor firms that collectively manage more than \$32 billion in assets. NAAIM member firms provide active money management services to their clients to produce favorable risk-adjusted returns as an alternative to passive, buy-and-hold investment strategies. NAAIM publishes the weekly NAAIM Exposure Index and sponsors the annual Uncommon Knowledge conference along with smaller conferences and regional workshops on topics of interest to its membership. For more information, visit [www.naaim.org](http://www.naaim.org).