



## 2021 NAAIM Active Investing Strategy Competition

Each year, the National Association of Active Investment Managers (NAAIM) hosts competitions to seek out the best actively managed investment strategies. The competition was launched in 2013 to provide an opportunity for managers to showcase their active investment strategies, models, and signals and to provide membership with opportunities to develop relationships with other active managers.

### 2021 Competition Categories

NAAIM's Active Investing Strategy competitions are category specific. 2021 categories include:

- Stock selection
- Tactical allocation
- Asset allocation
- Fixed income
- Alternatives

### Competition Dates: June 2 & 3 2021

The next NAAIM Active Investing Strategy Competitions will be held on Wednesday, June 2nd and Thursday June 3rd. The 2021 competitions will be held virtually with a live audience.

### Competition Structure:

There are two rounds of competitions: Preliminary and Final. Each competitor will be given 9 minutes to present their strategy. In the preliminary round, a question-and-answer period with NAAIM committee members will follow the presentation. In the final round, the Q-and-A session will include judges and audience. The winner of each strategy competition will be chosen by the NAAIM Active Investing Strategy Competition Committee.

### Category Competition Prizes:

The winners of each category competition will receive:

Promotion to NAAIM Membership  
Live Meetings with Manager-of-Managers Firms  
\$500 Cash Prize

### Grand Prize Package:

To be Announced

**Who May Participate:** The NAAIM Active Investing Strategy competitions are open to all trading and investing practitioners who have developed strategies with **live, verifiable, real money track records**. Note that NAAIM Membership is required to participate in the live NAAIM Shark Tank Finals.

**How to Apply:** Managers wishing to participate in the upcoming event are requested to submit an application to the committee by 9pm ET on Thursday April 1, 2021. [Link to the Application](#)

**Finalists will be announced by May 15, 2021.**

## Past Winners on Their Shark Tank Experience:

“I can’t say enough about the NAAIM Shark Tank Strategy Competition or the NAAIM community in general. Having recently left a CIO position at a large RIA firm, I was looking for an opportunity to showcase my new consulting service. The strategy I presented to the Shark Tank was very well received and within 6 months of the competition, I had acquired 8 new business relationships. I am pleased to report that my services are now promoted on 4 multi-manager platforms and I have a multitude of advisors to work with. I would encourage anyone looking to promote their business to participate in the NAAIM Shark Tank Strategy Competition.”

**David Moenning – 1<sup>st</sup> Place Winner – 2018**  
**Chief Investment Officer, Heritage Capital Research**

“I entered the Shark Tank for the 2015 competition. I had sold my prior company years earlier but had a non-compete until 2014 when I sold my remaining shares and decided to take my 12-year EVO trading system and track record to the Shark Tank challenge. After placing third, my assets began to grow substantially, even before winning the competition last year. Today our assets primarily through licensing, using just the EVO strategy, have grown to \$370m. I have to credit my participation in the Shark Tank for the exposure in getting to this level.”

**Rich Paul – 1st place winner – 2017**  
**President, Potomac Advisors**

“Winning NAAIM’s Shark Tank strategy competition has been invaluable on many levels. As a manager previously immersed solely in the hedge fund world, joining NAAIM opened several new avenues of opportunity I simply hadn’t been aware of before. Additionally, the community of fellow tactically-oriented managers willing to share ideas and resources was a truly welcome surprise. Without question, the Shark Tank victory has helped my business grow, and I believe that my continued association with NAAIM will only help further the future growth of my business.”

**David Bush – 1st place winner – 2016**  
**Managing Member, Alphantative LLC**

### About NAAIM:

The National Association of Active Investment Managers (NAAIM) is a non-profit trade group of nearly 150 registered investment advisor firms that collectively manage more than \$35 billion in assets. NAAIM member firms provide active money management services to their clients to produce favorable risk-adjusted returns as an alternative to passive, buy-and-hold investment strategies. NAAIM publishes the weekly NAAIM Exposure Index and sponsors the annual Uncommon Knowledge conference along with smaller conferences and regional workshops on topics of interest to its membership. For more information, visit [www.naaim.org](http://www.naaim.org).