



# Uncommon Knowledge CONFERENCE 2019

## Focus on Growth April 28 – May 1

### Sunday, April 28

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| 10:30 AM | NAAIM Golf Tournament – Talking Stick Golf Club           |
| 4:00 PM  | Solo Advisors Meeting – DoubleTree Resort Paradise Valley |
| 7:00 PM  | Welcome Reception – DoubleTree Resort Paradise Valley     |

### Monday, April 29

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| 8:00 – 8:45 AM   | New Attendee   Member Registration & Welcome Orientation   |
| 7:45 AM          | Registration   Sponsor Hall Opens – Continental Breakfast  |
| 8:45 AM          | Welcome – Steve Williamson, NAAIM President  |
| 9:00 – 10:00 AM  | <b>Long Pause or Finally a Peak? Where the Global Economy Stands and the Outlook for Asset Markets</b> – George Pearkes, Chief Global Strategist, Bespoke Investment Group       |
| 10:00 – 11:00 AM | <b>Get Your Head in the Game: The Latest in Trading Psychology and How To Turn Cognitive Weakness Into Strengths!</b> – Brett Steenbarger, Ph.D, SUNY Upstate Medical University |
| 11:00 – 11:20 AM | Refreshment Break – Sponsor Hall   |
| 11:20 – 12:00 PM | <b>NAAIM Member Panel</b> - TBA  |
| 12:00 – 1:15 PM  | Lunch and Sponsor Introductions  |
| 1:15 – 2:30 PM   | <b>It's all about the Benjamins! How to Successfully Complete a 2019 SEC Exam and Avoid Costly SEC Findings</b> - Thomas D. Giachetti, Esq., Stark & Stark Attorneys at Law      |
| 2:30 – 3:45 PM   | <b>Technology is Rapidly Changing the World of Finance. Are you on the Right Side of Change?</b> – Justin Wood, Founder, KRFTWRK   |
| 3:45 – 4:15 PM   | Refreshment Break – Sponsor Hall   |
| 4:15 – 5:45 PM   | <b>NAAIM Round Table Discussions   2 Sessions   Topics:</b><br>1. Digital Marketing 2. Technical Analysis 3. Financial Planning  |
| 6:15 – 8:00 PM   | Dinner Party – Hosted by Platinum Sponsors   |

## **Tuesday, April 30**

- 7:15 – 8:15 AM Continental Breakfast – Sponsor Hall
- 8:15 - 9:30 AM **A Progressive Investing Approach – A Paradigm Shift** - Ray Rondeau, President, QPrime2
- 9:30 – 10:15 AM **Gold Sponsor Break-Out Sessions** (Concurrent):
1. E\*Trade Advisory Services
  2. Princeton Fund Advisors, LLC
- 10:30 – 11:45 AM **Growing through Acquisition: New Strategies Available for Succession and Acquisition** - Erik Pahlow, Executive Vice President, Succession Resources Group
- 11:45 – 12:45 PM **Lunch**
- 12:45 – 2:00 PM **Key Strategic Planning Ideas to Grow Your Firm** – John Furey, Advisor Growth Strategies
- 2:00 – 3:00 PM **Concurrent Breakouts:**
- a) Ten Cost Effective Ideas to Grow Your Firm from \$30 Million to \$100 Million
  - b) Ten Ideas to Help You Grow from \$75 Million to \$250 Million
  - c) Ten Ideas to Help You Grow Your Firm from \$250 Million to \$1 Billion and Beyond
- 3:00 – 3:30 PM Refreshment Break – Sponsor Hall
- 3:30 – 4:15 PM **Lightening Round with a TWIST! Attendee Participation**
- 5:00 PM Arizona Diamondbacks vs. New York Yankees, Chase Field, Phoenix – Busses leave hotel for the game

## **Wednesday, May 1**

- 7:30 – 8:30 AM Continental Breakfast – Sponsor Hall
- 8:30 - 9:30 AM **NAAIM Founders Award 1st Place Winner** - TBA
- 9:30 -10:15 AM **NAAIM Annual Membership Meeting and Awards** – (Regular members only)
- 10:15 – 10:45 AM Refreshment Break – Sponsor Hall –
- 10:45– 11:45 AM **You’re Doing It All Wrong! – Portfolio Design for Modern Markets** – Member Panel | Moderator – David Moenning, Heritage Capital Research
- 11:45 – 1:00 PM Closing Lunch
- 1:30 PM **NAAIM Shark Tank Strategy Investing Competition – Finalist Presentations**
- 4:30 PM Adjourn