



SHARK TANK 2018 PRELIMINARY COMPETITION UNDERWAY

Shark Tank is alive and biting but some changes are afloat. Live preliminary presentations at Outlook in November have been eliminated! Instead, managers wishing to participate are requested to submit video presentations along with a written application to the committee for consideration to present in the finals at Uncommon Knowledge 2018, April 23-25 in Orlando, FL at the Wyndham Grand Resort Bonnet Creek.

NAAIM Shark Tank preliminaries have been broadened to accept applications and videos from members as well as non- members.



NAAIM Shark Tank Preliminary Competition 2018 - Rules

Purpose of the NAAIM Shark Tank Competition:

NAAIM Shark Tank was created to help NAAIM members gain exposure to new ideas in asset management and to identify and foster potential new business relationships.

Objectives NAAIM intends to accomplish during the competition include:

- To formalize the networking potential for NAAIM members
- To provide a structured venue for NAAIM Members to present strategies/models/signals to other members, managers and asset gatherers
- To provide NAAIM members exposure to new ideas in asset management
- To provide managers/asset gatherers opportunity to identify potential new relationships

Who May Submit an Application:

The application process for the preliminary competition is open to all trading and investing practitioners who have developed strategies with a verifiable live track record. Membership in NAAIM is not required to take part in the preliminary competition*.

Preliminary Competition Application Criteria:

- 1) A video presentation of the strategy must accompany the written application
- 2) The video presentation must be no longer than 7 minutes
- 3) The video presentation must address the following:
 - a. The asset class or classes traded or invested in by the strategy
 - b. Articulation of the investment process
 - c. Strategy performance
 - d. Trading frequency, speaking to the tax efficiency
 - e. Why you serve as a third-party investment manager
- 4) NAAIM will accept the presentation in most file formats including but not limited to: Webinar recordings such as GoToMeeting or Webex; YouTube; and Windows Media.
- 5) NAAIM will accept only one strategy and one manager per video. (Limit: two strategies per firm may be submitted).
- 6) Completion of written application
found online at: [NAAIM Shark Tank Preliminary Competition Application.](#)

Applications and Video Due Date: March 1, 2018

Finalists will be announced: March 15, 2017

**Finalists must be members of NAAIM and registered paid attendees of Uncommon Knowledge 2018 to present their strategies at Uncommon Knowledge, Wednesday, April 25.*

Additional Taping Option:

Paid NAAIM members are welcome to use NAAIM's GoToWebinar account to tape their presentation. Please contact Susan Truesdale to make an appointment. (One recording per strategy. No do-overs).

Questions? Contact Susan Truesdale at info@naaim.org or (888) 261-0787 | fax: (720) 749-1367



SHARK TANK – INTENT TO SUBMIT A VIDEO

Please submit your intent to participate in the Shark Tank Preliminary Competition prior to submitting a video and full application. This information will only be used for the purpose of contacting potential participants with reminders or information about the contest.

- Please place me on the list to participate in the preliminary Shark Tank Competition
- I am a NAAIM Member
- I am not a NAAIM Member. Please send me information about membership

Please print or type:

NAME _____

TITLE _____

COMPANY NAME _____

EMAIL ADDRESS _____

PHONE _____ CELL PHONE _____

HOW DID YOU HEAR ABOUT NAAIM SHARK TANK?

- Previous Competitor/Winner
- NAAIM Email/NAAIM News
- LinkedIn Post, Facebook, Twitter
- NAAIM Event
- Referral
- The Active Manager Newsletter
- Other

Email or fax your intent to: Info@naaim.org or Fax: 720-749-1367

If you have questions, please contact Susan Truesdale at 888-261-0787