

## **SHARK TANK 2017 PRELIMINARY COMPETITION UNDERWAY**

Shark Tank is alive and biting but some changes are afloat. Live preliminary presentations at Outlook in November have been eliminated! Instead, managers wishing to participate are requested to submit video presentations along with a written application to the committee for consideration to present in the finals at Uncommon Knowledge 2017 in San Diego, April 30- May 3.

NAAIM Shark Tank preliminaries have been broadened to accept applications and videos from members as well as non-members.

A variety of formats will be accepted. NAAIM staff will be available at the 2016 Outlook conference in November to record member presentations by pre-arranged appointment. Competition Rules and a link to the written application follow.



### **NAAIM Shark Tank Preliminary Competition 2017 - Rules**

#### **Purpose of the NAAIM Shark Tank Competition:**

NAAIM Shark Tank was created to help NAAIM members gain exposure to new ideas in asset management and to identify and foster potential new business relationships .

Objectives NAAIM intends to accomplish during the competition include:

- To formalize the networking potential for NAAIM members
- To provide a structured venue for NAAIM Members to present strategies/models/signals to other members, managers and asset gatherers
- To provide NAAIM members exposure to new ideas in asset management
- To provide managers/asset gatherers opportunity to identify potential new relationships

#### **Who may submit an application:**

The application process for the preliminary competition is open to all investment practitioners who have developed strategies with live trading in actual accounts. NAAIM membership is not required to take part in the preliminary competition\*.

#### **Preliminary Competition Application Criteria:**

- 1) A video presentation of the strategy must accompany the written application
- 2) The video presentation must be no longer than 7 minutes
- 3) The video presentation must address the following:
  - a. What you are trading
  - b. Performance
  - c. Frequency you are trading speaking to the tax efficiency
  - d. Why you serve as a third-party investment manager

- 4) NAAIM will accept the presentation in most file formats including but not limited to: Webinar recordings such as GoToMeeting or Webex; YouTube; and Windows Media.
- 5) NAAIM will accept only one strategy and one manager per video. (Limit: two strategies per firm may be submitted).
- 6) Completion of written application found online at:  
[NAAIM Shark Tank Preliminary Competition Application.](#)

**Applications and Video Due Date: Friday, February 17, 2017, 5:00 p.m. EDT**

**Finalists will be announced: Friday, March 3, 2017**

*\*Finalists must be members of NAAIM and registered paid attendees of Uncommon Knowledge 2017 to present their strategies at Uncommon Knowledge, April 30 – May 3 in San Diego, CA.*

**Taping options:**

- 1) Registered Outlook 2016 Attendees are invited to record their presentation using Citrix GoToWebinar following the conference on Tuesday, November 15 from 3:30 to 4:30 pm CDT. Please contact Susan Truesdale to make your appointment. (one per person).
- 2) Paid NAAIM members are welcome to use NAAIM’s GoToWebinar account to tape their presentation. Please contact Susan Truesdale to make an appointment. (One recording per strategy – no do overs).

**NAAIM Shark Tank Preliminary Competition**

**INTENT TO SUBMIT:**

Please submit your intent to participate in the Shark Tank Preliminary Competition prior to submitting a video and full application. This information will only be used for the purpose of contacting potential participants with reminders or information about the contest.

- Please place me on the list to participate in the preliminary Shark Tank Competition
- I am a NAAIM Member

Please print or type:

NAME \_\_\_\_\_

TITLE \_\_\_\_\_

COMPANY NAME \_\_\_\_\_

EMAIL ADDRESS \_\_\_\_\_

PHONE \_\_\_\_\_ CELL PHONE \_\_\_\_\_

**HOW DID YOU HEAR ABOUT NAAIM SHARK TANK?**

- Previous Competitor/Winner

- NAAIM Email/NAAIM News
- LinkedIn Post, Facebook, Twitter
- NAAIM Event
- Referral
- The Active Manager Newsletter
- Other

Email or fax your intent to:

[Info@naaim.org](mailto:Info@naaim.org) or Fax: 719-309-1290

If you have questions, please contact Susan Truesdale at 888-261-0787