



The National Association of Active Investment Managers (NAAIM) and Atlanta Chapter of the MTA invites you to attend *Tactical Investment Tools in Institutional Portfolio Management*, a half day seminar focused on tactical tools at work in institutional portfolios on Thursday, February 25, 2016.

Registration for this event is \$25 for MTA and NAAIM Members and \$50 for Non-Members. We encourage you to bring clients or colleagues interested in active investment management or technical analysis to this presentation.

Complete event details are listed below.

Date: Thursday, February 25, 2016

Time: 11:30am - 7:30pm

Agenda:

- 11:30AM: Registration
- 12:00PM: Lunch and Welcome from MTA/NAAIM & First Trust (sponsor)
- 12:30PM: "The Evolution of the Focus Five ETF Strategy"
 - Tom Dorsey of Dorsey Wright and Associates
- 2:00PM: "The Technician's Role in the Institutional Environment"
 - Stewart Taylor of Eaton Vance
- 3:15PM: Break and Networking
- 4:00PM: "Why We Use a Multi-Model Strategy"
 - Ken Graves of Capital Research Advisors
- 5:15PM: Cocktails and Networking
- 6:00PM: "How Big of a Bear?"
 - Doug Ramsey of the Leuthold Group
- 7:00PM: Conclude

Topic: Tactical Investment Tools in Institutional Portfolio Management

Speaker: Tom Dorsey – Dorsey Wright & Associates

Tom Dorsey co-founded Dorsey, Wright & Associates in January 1987. Mr. Dorsey has over 40 years of experience in the financial services business, having started as a stock broker with Merrill Lynch Pierce Fenner & Smith in 1974. He is the author of nine books whose subject matter ranges from the Point & Figure methodology to motivational topics, and is regularly quoted in the financial media. Mr. Dorsey is an award winning speaker, having taught the Point & Figure method of investing, around the globe. Additionally, he has been recognized for his business acumen with various awards including Runner-up Ernst & Young Entrepreneur of the Year Award 1999, Runner-up Best Small Business of Richmond, VA 2000, and Alumni of the Year 2000, Virginia Commonwealth University as well as Star Alumni Virginia Commonwealth University 1915. He was also recently recognized as a Market Technicians Association "Living Legend." Mr. Dorsey's personal interests have also led to recognition as he is a Former AAU World Record Holder in Power Lifting (50 – 55 age group) and the American Record Holder in Power Lifting (50 - 55 age group); All State Records in age group. He has completed the Adventurers "Rickshaw Run" from north to south India and is a former FAA licensed Hot Air Balloon Pilot.



Speaker: Stewart Taylor, CMT – Eaton Vance



Stewart Taylor is a vice president of Eaton Vance Management, and portfolio manager and senior fixed-income trader on Eaton Vance's investment-grade fixed-income team.

Stewart joined Eaton Vance in 2005 and has over 25 years of practical fixed-income market and investing experience. He is responsible for Treasury and government agency trading, as well as portfolio strategy, and has extensive experience employing both technical and fundamental analysis as a basis for portfolio strategy, tactical trading and risk management. Stewart has developed a unique framework utilizing the technical behaviors across a wide range of actively traded markets to assess economic conditions and the business cycle. Additionally, he has experience analyzing and trading fixed-income securities, commodities, equities, and currencies in the cash, futures and options markets using technical tools to assess relative value. This includes significant practical expertise in managing mortgage origination risk through the use of Treasury and mortgage-backed derivatives. Previously, Stewart was a senior vice president with Government Perspectives, LLC and provided institutional fixed income brokerage at Shearson Lehman, Prudential and Refco. From 1992-2002, he provided private investing and trading consultation to both institutional desks and buy-side accounts.

Speaker: Ken Graves – Capital Research Advisors

Ken is one of the cofounders of Capital Research Advisors, where he currently serves as the company's Chief Investment Officer. Ken also serves as the Vice President of the National Association of Active Investment Managers (NAAIM).



Ken's career in financial services began in 1987 with a small independent firm in Texas. That small firm soon merged into a much larger firm, and through that merger Ken became a Sr. Vice President in 1992. In 1994, Ken moved to Atlanta after Citigroup bought yet another company. However, less than two years after moving to Atlanta, Ken knew that he wanted to be back in the world of independent thinkers where he would not be bound by a confining company policy with its "preferred" products. As such, he resigned his position with Citigroup and joined a much smaller independent firm based in the Midwest, although he remained in Atlanta.

In 2002, Ken and one of the other future cofounders of Capital Research Advisors began to map out a more strategic investment company. Using their tactical models of investment management, they designed the company which, in 2003, was launched as Capital Research Advisors, LLC. Four years later, Ken took over the responsibilities of Chief Investment Officer.

In addition to his longstanding dealings with the profit driven market, Ken is also actively involved in the nonprofit world. He has served on the boards and committees of the Guggenheim/Rydex Advisory Board, Victoria's Friends, the Student Scholarship Foundation of Georgia, and the Heritage Foundation. In addition, he has ongoing interests in Compassion International, the National Association of Active Investment Managers, Victoria's Friends, and Camp All American. All of these connections give Ken avenues to make contributions to the areas of life which he feels are most important.

Speaker: Doug Ramsey, CFA, CMT

Doug is the Chief Investment Officer of The Leuthold Group, LLC, and Co-Portfolio Manager of the Leuthold Core Investment Fund and the Leuthold Global Fund.



In addition to his CIO and Portfolio Management responsibilities heading both the asset allocation and investment strategy committees, Doug maintains the firm's proprietary Major Trend Index, a multi-factor model which evaluates the underlying health of the markets, both domestically and globally. He is also the lead writer for The Leuthold Group's highly regarded institutional research publications.

Before joining the Leuthold team, Doug was Chief Investment Officer of Treis Capital in Des Moines, Iowa, where he managed equity portfolios and published a quantitative equity research product. Prior to that, he worked at Principal Global Investors. Doug is a Phi Beta Kappa graduate of Coe College in Cedar Rapids, IA, where he earned a Bachelor's degree in Economics and Business Administration. He also played four years of varsity basketball at Coe, earning Academic All-America honors in 1986-87. Doug received an MA degree in Economics from The Ohio State University in 1990; he earned his CFA designation in 1996 and became a Chartered Market

Location:

Terry College of Business
3475 Lenox Road NE, Room Third Thursday
Atlanta, GA 30326-3228

Cost: \$25 for MTA and NAAIM Members and \$50 for Non-Members.

*NAAIM members please use coupon code **NAAIM** for exclusive member pricing.*

Conference Hotel: [JW Marriott Hotel](#) / \$179 per night – booking deadline is February 11th, 2016

This conference qualifies for 6 Continuing Education (CE) credits.

Sponsored By:**April 2016 CMT Registration**

The Chartered Market Technician® (CMT) credential is the preeminent, global designation for practitioners of technical analysis. The designation is awarded to those who demonstrate mastery of a core body of knowledge of investment risk in portfolio management settings.

Earning the CMT charter makes you part of a community of investment professionals recognized as specialists and value generators around the world. A perfect complement to other analytical disciplines and financial designations, the CMT charter puts you on the leading edge of the ever-changing investment industry.



Registration for the April 2016 administration of the CMT exams is [now open](#). The CMT Level I & II exams will be offered worldwide April 28-30, 2016. If you have any questions or would like to request additional information please contact Member Services Director, [Marie Penza](#).

Save the date for upcoming NAAIM events:

Workshop: Take Your Asset Management Business to the Next Level Workshop in Tampa, Florida — March 3, 2016

Uncommon Knowledge 2016 in Fort Lauderdale, Florida — May 1–4, 2016

NAAIM now offers Individual Memberships for \$300 per year. Visit [NAAIM.org](#) for more details